



*I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:*

<u>Provisional Application No.</u>	<u>Filing Date</u>	<u>Status</u>
N/A		

*I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States Application(s), or Section 365(c) of any PCT International Application(s) designating the United States listed below. Insofar as this application discloses and claims subject matter in addition to that disclosed in any such prior Application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56, which became available between the filing date(s) of such prior Application(s) and the national or PCT international filing date of this application:*

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
09/179,798	October 27, 1998	Pending

*And I hereby appoint*

John P. White (Reg. No. 28,678); Christopher C. Dunham (Reg. No. 22,031); Norman H. Zivin (Reg. No. 25,385); Jay H. Maioli (Reg. No. 27, 213); William E. Pelton (Reg. No. 25, 702); Robert D. Katz (Reg. No. 30,141); Peter J. Phillips (Reg. No. 29,691); Wendy E. Miller (Reg. No. 35,615); Richard S. Milner (Reg. No. 33, 970); Robert T. Maldonado (Reg. No. 38,232); Paul Teng (40,837); Richard F. Jaworski (Reg. No. 33, 515); Pedro C. Fernandez (Reg. No. 41, 741); Gary J. Gershik (Reg. No. 39,992); Spencer H. Schneider (Reg. No. 45,923); Alan J. Morrison (Reg. No. 37,399); Alan D. Miller (Reg. No. 42,889); and Frank Bruno (Reg. No. 46,583).

*and each of them all c/o Cooper & Dunham, LLP, 1185 Avenue of the Americas, New York, New York 10036. my attorneys, each with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, to transact all business in the Patent and Trademark Office connected therewith and to file any International Applications which are based thereon under the provisions of the Patent Cooperation Treaty.*

Please address all communications, and direct all telephone calls, regarding this application to:

John P. White

28,678

Reg. No.

Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
Tel. (212) 278-0400

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true: and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or  
first joint inventor Kelli E. Smith

Inventor's signature \_\_\_\_\_

Citizenship U.S.A. Date of signature \_\_\_\_\_

Residence 7 Bolton Place, Fairlawn, NJ 07410

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Full name of joint  
inventor (if any) Richard Weinshank

Inventor's signature \_\_\_\_\_

Citizenship U.S.A. Date of signature \_\_\_\_\_

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Inventor's signature \_\_\_\_\_

Citizenship \_\_\_\_\_ Date of signature \_\_\_\_\_

Residence \_\_\_\_\_

Post Office Address \_\_\_\_\_

Applicant or Patentee: \_\_\_\_\_ Attorney's \_\_\_\_\_  
 Serial or Patent No.: Not Yet Known Docket No.: 55180-A  
 Filed or Issued: Herewith  
 Title of Invention or Patent: DNA ENCODING A HUMAN RECEPTOR (hp15a)  
AND USES THEREOF

VERIFIED STATEMENT (DECLARATION) CLAIMING  
 SMALL ENTITY STATUS UNDER 37 C.F.R. §1.9(f)  
 AND §1.27(d) - SMALL BUSINESS CONCERN

I hereby declare that I am:

       the owner of the small business concern identified below.

X an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Concern: Synaptic Pharmaceutical Corporation

Address of Concern: 215 College Road  
Paramus, New Jersey 07652-1431, U.S.A.

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. §121.3-18, reproduced in 37 C.F.R. §1.9(d), for purposes of paying reduced fees under 35 U.S.C. §41(a) and §41(b), in that the number of employees of the concern, including those of its affiliates, does not exceed five hundred (500) persons. For purposes of this verified statement, the number of employees of the business concern is the average number, over the previous fiscal year, of the persons employed by the business concern on a full-time, part-time, or temporary basis during each pay period of the fiscal year, and concerns are affiliates of each other when, either directly or indirectly, one concern controls or has power to control the other, or a third party or parties controls or has power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled

DNA ENCODING A HUMAN RECEPTOR (hp15a) AND USES THEREOF  
 described in:

X the specification filed herewith  
 application serial no. \_\_\_\_\_ filed \_\_\_\_\_  
 patent no. \_\_\_\_\_ issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 C.F.R. §1.9(c)\*, any concern which could not qualify as a small business concern under 37 C.F.R. §1.9(d)\* or as a nonprofit organization under 37 C.F.R. §1.9(e)\*.

Name: N/A  
 Address: \_\_\_\_\_

\_\_\_\_\_ Individual \_\_\_\_\_ Small Business Concern \_\_\_\_\_ Nonprofit Organization

<sup>a</sup>NOTE: Separate verified statements are required for each named person, concern, or organization having rights to the invention averring to their status as small entities. 37 C.F.R. §1.27.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. 37 C.F.R. §1.28(b)\*.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Kathleen P. Mullinix  
Title In Organization: Chairman, President and Chief Executive Officer  
Address: 215 College Road  
Paramus, New Jersey 07652-1431 USA  
Signature: Kathleen P. Mullinix  
Date Of Signature: December 6, 2001

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